

**U.S. District Court
Southern District of Ohio (Cincinnati)
CIVIL DOCKET FOR CASE #: 1:11-cv-00267-SAS-KLL**

Western and Southern Life Insurance Company et al v. Countrywide
Financial Corp. et al
Assigned to: Judge S Arthur Spiegel
Referred to: Magistrate Judge Karen L. Litkovitz
Cause: 15:77 Securities Fraud

Date Filed: 04/27/2011
Date Terminated: 09/07/2011
Jury Demand: Plaintiff
Nature of Suit: 850 Securities/Commodities
Jurisdiction: Federal Question

Plaintiff

**Western and Southern Life Insurance
Company**

represented by **Adam C Sherman**
Vorys, Sater, Seymour and Pease LLP
221 East Fourth St.
Atrium Two, Suite 2000
Cincinnati, OH 45202
513-723-4000
Fax: 513-852-8468
Email: acsberman@vorys.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Eric Wade Richardson
Vorys Sater Seymour &Pease – 1
Atrium Two
221 E Fourth Street
Suite 2000
Cincinnati, OH 45201-0236
513-723-4019
Fax: 513-852-7885
Email: ewrichardson@vorys.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Glenn Virgil Whitaker
Vorys Sater Seymour &Pease – 1
Atrium Two
221 E Fourth Street
Suite 2000
Cincinnati, OH 45201-0236
513-723-4000
Email: gvwhitaker@vorys.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Alexis H Castillo
Wollmuth Maher &Deutsch LLP
500 Fifth Avenue, 12th Floor
New York, NY 10036
(212) 382-3300
Fax: (212) 382-0050
Email: acastillo@wmd-law.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

David H Wollmuth

Wollmuth Maher &Deutsch LLP

500 Fifth Avenue, 12th Floor

New York, NY 10036

(212) 382-3300

Fax: (212) 382-0050

Email: dwollmuth@wmd-law.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Steven S Fitzgerald

Wollmuth Maher &Deutsch LLP

500 Fifth Avenue, 12th Floor

New York, NY 10036

(212) 382-3300

Fax: (212) 382-0050

Email: sfitzgerald@wmd-law.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Vincent T Chang

Wollmuth Maher &Deutsch LLP

500 Fifth Avenue, 12th Floor

New York, NY 10036

(212) 382-3300

Fax: (212) 382-0050

Email: vchang@wmd-law.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Plaintiff

Western-Southern Life Assurance Company

represented by **Adam C Sherman**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Eric Wade Richardson

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Glenn Virgil Whitaker

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Alexis H Castillo

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

David H Wollmuth

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Steven S Fitzgerald

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Vincent T Chang

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Plaintiff

Columbus Life Insurance Company

represented by **Adam C Sherman**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Eric Wade Richardson

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Glenn Virgil Whitaker

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Alexis H Castillo

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

David H Wollmuth

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Steven S Fitzgerald

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Vincent T Chang

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Plaintiff

Integrity Life Insurance Company

represented by **Adam C Sherman**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Eric Wade Richardson

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Glenn Virgil Whitaker

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Alexis H Castillo

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

David H Wollmuth

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Steven S Fitzgerald

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Vincent T Chang

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Plaintiff

National Integrity Life Insurance Company

represented by **Adam C Sherman**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Eric Wade Richardson

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Glenn Virgil Whitaker

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Alexis H Castillo

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

David H Wollmuth

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Steven S Fitzgerald

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Vincent T Chang

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Plaintiff

Fort Washington Investment Advisors, Inc.

on behalf of

Fort Washington Active Fixed Income, LLC.

represented by **Adam C Sherman**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Eric Wade Richardson

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Glenn Virgil Whitaker

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Alexis H Castillo

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

David H Wollmuth

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Steven S Fitzgerald

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Vincent T Chang

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Defendant

Countrywide Financial Corp.

represented by **Charles Joseph Faruki**
Faruki Ireland &Cox PLL – 3
500 Courthouse Plaza, SW
10 N Ludlow Street
Dayton, OH 45402–1818
937–227–3705
Fax: 937–227–3705
Email: cfaruki@ficlaw.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Richard Stuart Wayne
Strauss &Troy – 1
The Federal Reserve Building
150 E Fourth Street
4th Floor
Cincinnati, OH 45202–4018
513–621–2120
Fax: 513–629–9426
Email: rswayne@strausstroy.com
TERMINATED: 06/29/2011
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

William Patrick Coley , II
Strauss &Troy
The Federal Reserve Building
150 East Fourth Street
Cincinnati, OH 45202
513–621–2120
Fax: 513–241–8259
Email: WPColey@strausstroy.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Brian C Devine
Goodwin Procter LLP
Exchange Place
53 State Street
Boston, MA 02109
(617) 570–1000
Fax: (617) 523–1231
Email: bdevine@goodwinprocter.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Brian E. Pastuszanski
Goodwin Procter LLP
Exchange Place
53 State Street
Boston, MA 02109
(617) 570–1000
Fax: (617) 523–1231

Email: bpastuszenski@goodwinprocter.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Caroline H Bullerjahn

Goodwin Procter LLP

Exchange Place

53 State Street

Boston, MA 02109

617-570-1359

Fax: 617-523-1231

Email: cbullerjahn@goodwinprocter.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Inez H Friedman-Boyce

Goodwin Procter LLP

Exchange Place

53 State Street

Boston, MA 02109

(617) 570-1000

Fax: (617) 523-1231

Email: ifriedmanboyce@goodwinprocter.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Lloyd Winawer

Goodwin Procter LLP

135 Commonwealth Drive

Menlo Park, CA 94025

(650) 752-3146

Fax: (650) 853-1038

Email: lwinawer@goodwinprocter.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Defendant

Countrywide Home Loans, Inc.

represented by **Charles Joseph Faruki**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Richard Stuart Wayne

(See above for address)

TERMINATED: 06/29/2011

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

William Patrick Coley , II

(See above for address)

TERMINATED: 06/29/2011

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Brian C Devine

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Brian E. Pastuszewski

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Caroline H Bullerjahn

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Inez H Friedman–Boyce

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Lloyd Winawer

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Defendant

Countrywide Capital Markets LLC.

represented by **Charles Joseph Faruki**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Richard Stuart Wayne

(See above for address)

TERMINATED: 06/29/2011

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

William Patrick Coley , II

(See above for address)

TERMINATED: 06/29/2011

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Brian C Devine

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Brian E. Pastuszewski

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Caroline H Bullerjahn

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Inez H Friedman–Boyce

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Lloyd Winawer

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Defendant

Countrywide Securities Corp.

represented by **Charles Joseph Faruki**

(See above for address)

TERMINATED: 06/29/2011

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Richard Stuart Wayne

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

William Patrick Coley , II

(See above for address)

TERMINATED: 06/29/2011

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Brian C Devine

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Brian E. Pastuszinski

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Caroline H Bullerjahn

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Inez H Friedman–Boyce

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Lloyd Winawer

(See above for address)

PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

CWALT, Inc.

represented by **Charles Joseph Faruki**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Richard Stuart Wayne
(See above for address)
TERMINATED: 06/29/2011
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

William Patrick Coley , II
(See above for address)
TERMINATED: 06/29/2011
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Brian C Devine
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Brian E. Pastuszenski
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Caroline H Bullerjahn
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Inez H Friedman–Boyce
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Lloyd Winawer
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

CWABS, Inc.

represented by **Charles Joseph Faruki**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Richard Stuart Wayne

(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

William Patrick Coley , II
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Brian C Devine
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Brian E. Pastuszanski
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Caroline H Bullerjahn
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Inez H Friedman–Boyce
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Lloyd Winawer
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

CWMBS, Inc.

represented by **Charles Joseph Faruki**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Richard Stuart Wayne
(See above for address)
TERMINATED: 06/29/2011
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

William Patrick Coley , II
(See above for address)
TERMINATED: 06/29/2011
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Brian C Devine
(See above for address)

PRO HAC VICE
ATTORNEY TO BE NOTICED

Brian E. Pastuszenski
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Caroline H Bullerjahn
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Inez H Friedman–Boyce
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Lloyd Winawer
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

CWHEQ, Inc.

represented by **Charles Joseph Faruki**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Richard Stuart Wayne
(See above for address)
TERMINATED: 06/29/2011
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

William Patrick Coley , II
(See above for address)
TERMINATED: 06/29/2011
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Brian C Devine
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Brian E. Pastuszenski
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Caroline H Bullerjahn
(See above for address)
PRO HAC VICE

Inez H Friedman–Boyce
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Lloyd Winawer
(See above for address)
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

Bank of America Corp.

represented by **Ashner L Rivner**
O'Melveny & Myers LLP
7 Times Square
New York, NY 10036
(212) 728–2000
Fax: (212) 326–2061
Email: arivner@omm.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Richard Stuart Wayne
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

William Patrick Coley , II
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Jonathan Rosenberg
O'Melveny & Myers LLP
7 Times Square
New York, NY 10036
(212) 408–2409
Fax: (212) 326–2061
Email: jrosenberg@omm.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

William J Sushon
O'Melveny & Myers LLP
7 Times Square
New York, NY 10036
(212) 728–5693
Email: wsushon@omm.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

BAC Home Loans Servicing, LP

represented by **Ashner L Rivner**

(See above for address)

LEAD ATTORNEY

PRO HAC VICE

ATTORNEY TO BE NOTICED

Richard Stuart Wayne

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

William Patrick Coley , II

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Jonathan Rosenberg

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

William J Sushon

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Defendant

NB Holdings Corp.

represented by **Richard Stuart Wayne**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

William Patrick Coley , II

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Ashner L Rivner

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Jonathan Rosenberg

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

William J Sushon

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Defendant

Angelo Mozilo

represented by

#3324

Laura A Hinegardner

Katz Teller Brant &Hild

2400 Chemed Center

255 East 5th Street

Cincinnati, OH 45202

513-977-3484

Fax: 513-762-0048

Email: lhinegardner@katzteller.com

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Robert Alexander Pitcairn , Jr

Katz, Teller, Brant &Hild – 1

255 E 5th Street

Suite 2400

Cincinnati, OH 45202

513-977-3477

Fax: 513-762-0077

Email: rpitcairn@katzteller.com

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Adam J Fletcher

Irell &Manella LLP

1800 Avenue of the Stars

Suite 900

Los Angeles, CA 90067-4276

(310) 203-7032

Fax: (310) 203-7199

Email: afletcher@irell.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Allison L Libeu

Irell &Manella LLP

840 Newport Center Drive

Suite 400

Newport Beach, CA 92660

(949) 760-5287

Fax: (949) 760-5200

Email: alibeu@irell.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Alvin M Ashley

Irell &Manella LLP

840 Newport Center Drive

Suite 400

Newport Beach, CA 92660

(949) 760-5274

Fax: (949) 760-5200

Email: mashley@irell.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

David Siegel

Irell & Manella LLP
1800 Avenue of the Stars
Suite 900
Los Angeles, CA 90067-4276
(310) 203-7129
Fax: (310) 203-7199
Email: dsiegel@irell.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

David Sambol

represented by **Mark John Ruehlmann**
Squire Sanders Dempsey, LLP
221 E. Fourth Street
Suite 2900
Cincinnati, OH 45202
513-361-1200
Fax: 513-361-1210
Email: mruehlmann@ssd.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Pierre H Bergeron

Squire Sanders & Dempsey (US) LLP
221 East Fourth Street
Suite 2900
Cincinnati, OH 45202
513-361-1200
Email: pbergeron@ssd.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Frank M Scaduto

Orrick Herrington & Sutcliffe, LLP
The Orrick Building
405 Howard Street
San Francisco, CA 94105
(415) 773-5457
Fax: (415) 773-5759
Email: fscaduto@orrick.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Michael D Torpey

Orrick Herrington & Sutcliffe, LLP
The Orrick Building
405 Howard Street
San Francisco, CA 94105
415-773-5932
Fax: 415-773-5759
Email: mtorpey@orrick.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Michael C Tu

Orrick Herrington & Sutcliffe, LLP
777 South Figueroa Street
Suite 3200
Los Angeles, CA 90017
(213) 612-2433
Fax: (213) 612-2499
Email: mtu@orrick.com

PRO HAC VICE

ATTORNEY TO BE NOTICED

Robert Alan Amicone, II

Squire Sanders & Dempsey (US) LLP
221 E. Fourth St.
Suite 2900
Cincinnati, OH 45202
513-361-1200
Fax: 513-361-1201
Email: ramicone@ssd.com

ATTORNEY TO BE NOTICED

Defendant

Eric Sieracki

Defendant

Ranjit Kripalani

represented by **W Stuart Dornette**

Taft Stettinius & Hollister – 1
425 Walnut Street
Suite 1800
Cincinnati, OH 45202-3957
513-357-9367
Fax: 513-381-2838
Email: dornette@taftlaw.com

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Adam Charles McNeely

Taft Stettinius & Hollister
425 Walnut Street
Suite 1800
Cincinnati, OH 45202
513/381-2838
Email: amcneely@taftlaw.com

ATTORNEY TO BE NOTICED

Gregory Gordon Lockhart

TAFT STETTINIUS & HOLLISTER LLP
40 North Main Street
Suite 1700
Dayton, OH 45423
937-228-2838
Fax: 937-228-2816

Email: glockhart@taftlaw.com
ATTORNEY TO BE NOTICED

Jenifer Q Doan

Paul Hastings, Janofsky & Walker LLP
515 South Flower Street
25th Floor
Los Angeles, CA 90071
(213) 683-6184
Fax: (213) 996-3184
Email: JeniferDoan@paulhastings.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Joshua G Hamilton

Paul Hastings, Janofsky & Walker LLP
515 South Flower Street
25th Floor
Los Angeles, CA 90071
(213) 683-6186
Fax: (213) 996-3186
Email: joshuahamilton@paulhastings.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

William F Sullivan

Paul Hastings, Janofsky & Walker LLP
515 South Flower Street
25th Floor
Los Angeles, CA 90071
(213) 683-6252
Fax: (213) 996-3252
Email: williamsullivan@paulhastings.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

Stanford Kurland

represented by **Victoria E Powers**
Schottenstein Zox & Dunn
250 West Street
Suite 700
Columbus, OH 43215
614-462-5010
Fax: 614-222-3478
Email: vpowers@szd.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Christopher G Caldwell

Caldwell Leslie & Proctor, PC
1000 Wilshire Boulevard
Suite 600
Los Angeles, CA 90017
(213) 629-9040

Fax: (213) 629-9022
Email: caldwell@caldwell-leslie.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

David C Codell
Caldwell Leslie & Proctor, PC
1000 Wilshire Boulevard
Suite 600
Los Angeles, CA 90017
(213) 629-9040
Fax: (213) 629-9022
Email: codell@caldwell-leslie.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

David A. Spector

represented by **Christopher D Cathey**
Ulmer & Berne LLP – 1
2800 Cincinnati Commerce Center
600 Vine Street
Cincinnati, OH 45202-2409
513-698.5026
Fax: 513-698-5027
Email: ccathey@ulmer.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Jesse R Lipcius
600 Vine Street
Suite 2800
Cincinnati, OH 45202
513-698-5032
Fax: 513-698-5033
Email: jlipcius@ulmer.com
ATTORNEY TO BE NOTICED

Leiv H. Blad, Jr.
Bingham McCutchen LLP
2020 K Street NW
Washington, DC 20006-1806
202-373-6564
Fax: 202-373-6001
Email: leiv.blad@bingham.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Defendant

N. Joshua Adler

represented by **Charles Joseph Faruki**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Richard Stuart Wayne

(See above for address)

TERMINATED: 06/29/2011

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

William Patrick Coley , II

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Brian C Devine

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Brian E. Pastuszewski

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Inez H Friedman–Boyce

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Lloyd Winawer

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Defendant

Jennifer Sandefur

represented by **W Stuart Dornette**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Adam Charles McNeely

(See above for address)

ATTORNEY TO BE NOTICED

Gregory Gordon Lockhart

(See above for address)

ATTORNEY TO BE NOTICED

Jenifer Q Doan

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Joshua G Hamilton

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

William F Sullivan

(See above for address)

PRO HAC VICE

ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
04/27/2011	<u>I</u> 1	Filing fee: \$ 350.00, receipt number 100CIN011540 (lk) (Entered: 04/27/2011)
04/27/2011	<u>I</u> 1	Pursuant to General Order of Assignment and Reference filed on February 23, 2011, Magistrate Judge Karen L. Litkovitz added for purposes of discovery (lk) (Entered: 04/27/2011)
04/27/2011	<u>I</u> 1	COMPLAINT with JURY DEMAND against All Defendants, filed by all Plaintiffs. (Attachments: # <u>1</u> Exhibits A-EE, # <u>2</u> Civil Cover Sheet, # <u>3</u> Filing Fee Receipt) (lk) (Entered: 04/27/2011)
04/27/2011	<u>I</u> 2	Corporate Disclosure Statement by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Attachments: # <u>1</u> Corporate Disclosure Fort Washington, # <u>2</u> Corporate Disclosure Fort Washington Active Fixed Income, LLC, # <u>3</u> Corporate Disclosure Statement Integrity Life Insurance Company, # <u>4</u> Corporate Disclosure Statement National Integrity Life Insurance Company, # <u>5</u> Corporate Disclosure Statement Western-Southern Life Assurance Company, # <u>6</u> Corporate Disclosure Statement Western and Southern Life Insurance Company) (lk) (Entered: 04/27/2011)
05/11/2011	<u>I</u> 3	Summons Issued as to N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., and Countrywide Securities Corp. (mr) (Entered: 05/11/2011)
05/12/2011	<u>I</u> 4	*** FORMER DN 4 *** AFFIDAVIT of Service for Summons and Complaint served on BAC Home Loans Servicing, LP on 05/05/2011, filed by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Sherman, Adam) Modified to remove PDF and edit text on 5/13/2011 (mr). (Entered: 05/12/2011)
05/12/2011	<u>I</u> 5	*** FORMER DN 5 *** AFFIDAVIT of Service for Summons and Complaint served on Bank of America Corporation on 05/05/2011, filed by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Sherman, Adam) Modified to remove PDF and edit text on 5/13/2011 (mr). (Entered: 05/12/2011)
05/12/2011	<u>I</u> 6	*** FORMER DN 6 *** AFFIDAVIT of Service for Summons and Complaint served on NB Holdings Corporation on 05/05/2011, filed by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Sherman, Adam) Modified to remove PDF and edit text on 5/13/2011 (mr). (Entered: 05/12/2011)
05/13/2011	<u>I</u> 1	Notice of Correction re: FORMER DN 4 Affidavit of Service, FORMER DN 5 Affidavit of Service, FORMER DN 6 Affidavit of Service. PDFs have been removed. Counsel has been contacted and will re-file the documents with Civil Events/Initial Pleadings and Service/Service of Process/Summons Returned Executed with the date served and to establish the answer due

date. (mr) (Entered: 05/13/2011) #:3331

05/13/2011	<u>İ 7</u>	SUMMONS Returned Executed as to Defendant BAC Home Loans Servicing, LP. BAC Home Loans Servicing, LP served on 5/5/2011, answer due 5/26/2011. (Sherman, Adam) (Entered: 05/13/2011)
05/13/2011	<u>İ 8</u>	SUMMONS Returned Executed as to Defendant Bank of America Corp.. Bank of America Corp. served on 5/5/2011, answer due 5/26/2011. (Sherman, Adam) (Entered: 05/13/2011)
05/13/2011	<u>İ 9</u>	SUMMONS Returned Executed as to Defendant NB Holdings Corp.. NB Holdings Corp. served on 5/5/2011, answer due 5/26/2011. (Sherman, Adam) (Entered: 05/13/2011)
05/16/2011	<u>İ 10</u>	SUMMONS Returned Executed as to Defendant David A. Spector. David A. Spector served on 5/5/2011, answer due 5/26/2011. (Sherman, Adam) (Entered: 05/16/2011)
05/17/2011	<u>İ 11</u>	SUMMONS Returned Executed as to Defendant Countrywide Financial Corp.. Countrywide Financial Corp. served on 5/12/2011, answer due 6/2/2011. (Sherman, Adam) (Entered: 05/17/2011)
05/17/2011	<u>İ 12</u>	SUMMONS Returned Executed as to Defendant CWALT, Inc.. CWALT, Inc. served on 5/12/2011, answer due 6/2/2011. (Sherman, Adam) (Entered: 05/17/2011)
05/17/2011	<u>İ 13</u>	SUMMONS Returned Executed as to Defendant Countrywide Home Loans, Inc.. Countrywide Home Loans, Inc. served on 5/12/2011, answer due 6/2/2011. (Sherman, Adam) (Entered: 05/17/2011)
05/17/2011	<u>İ 14</u>	SUMMONS Returned Executed as to Defendant CWHEQ, Inc.. CWHEQ, Inc. served on 5/12/2011, answer due 6/2/2011. (Sherman, Adam) (Entered: 05/17/2011)
05/17/2011	<u>İ 15</u>	SUMMONS Returned Executed as to Defendant CWABS, Inc.. CWABS, Inc. served on 5/12/2011, answer due 6/2/2011. (Sherman, Adam) (Entered: 05/17/2011)
05/17/2011	<u>İ 16</u>	SUMMONS Returned Executed as to Defendant CWMBBS, Inc.. CWMBBS, Inc. served on 5/12/2011, answer due 6/2/2011. (Sherman, Adam) (Entered: 05/17/2011)
05/24/2011	<u>İ 17</u>	SUMMONS Returned Executed as to Defendant Countrywide Securities Corp.. Countrywide Securities Corp. served on 5/13/2011, answer due 6/3/2011. (Sherman, Adam) (Entered: 05/24/2011)
05/24/2011	<u>İ 18</u>	SUMMONS Returned Executed as to Defendant Countrywide Capital Markets LLC.. Countrywide Capital Markets LLC. served on 5/13/2011, answer due 6/3/2011. (Sherman, Adam) (Entered: 05/24/2011)
05/24/2011	<u>İ 19</u>	SUMMONS Returned Executed as to Defendant N. Joshua Adler. N. Joshua Adler served on 5/16/2011, answer due 6/6/2011. (Sherman, Adam) (Entered: 05/24/2011)
05/25/2011	<u>İ</u>	Remark – received service copy of a Motion to Centralize Securities Actions in the Central District of California pursuant to 28 USC Section 1407 dated 5/23/2011, which is being submitted by Countrywide Financial Corporation to the Judicial Panel on Multidistrict Litigation for consideration. Countrywide Defendants are represented in the motion by attorney Brian Pastuszewski of the law firm Goodwin Proctor LLP of Boston, MA. (km1) (Entered: 05/25/2011)
05/31/2011	<u>İ 20</u>	WAIVER OF SERVICE Returned Executed. Waiver sent to Stanford Kurland on 5/2/2011, answer due 7/1/2011. (Sherman, Adam) (Entered: 05/31/2011)
05/31/2011	<u>İ 21</u>	WAIVER OF SERVICE Returned Executed. Waiver sent to Eric Sieracki on 5/2/2011, answer due 7/1/2011. (Sherman, Adam) (Entered: 05/31/2011)
05/31/2011	<u>İ 22</u>	

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		WAIVER OF SERVICE Returned Executed. Waiver sent to Angelo Mozilo on 4/28/2011, answer due 6/27/2011. (Sherman, Adam) (Entered: 05/31/2011)
05/31/2011	<u>23</u>	WAIVER OF SERVICE Returned Executed. Waiver sent to Ranjit Kripalani on 5/2/2011, answer due 7/1/2011. (Sherman, Adam) Modified to correct PDF on 6/2/2011 (sct1). (Entered: 05/31/2011)
05/31/2011	<u>24</u>	WAIVER OF SERVICE Returned Executed. Waiver sent to Jennifer Sandefur on 5/2/2011, answer due 7/1/2011. (Sherman, Adam) (Entered: 05/31/2011)
05/31/2011	<u>25</u>	WAIVER OF SERVICE Returned Executed. Waiver sent to David Sambol on 5/2/2011, answer due 7/1/2011. (Sherman, Adam) (Entered: 05/31/2011)
06/01/2011	<u>26</u>	Unopposed MOTION for Extension of Time New date requested 6/16/2011. by Defendant David A. Spector. (Lipcius, Jesse) (Entered: 06/01/2011)
06/02/2011	<u>27</u>	Notice of Correction re <u>23</u> Waiver of Service Executed: PDF was submitted upside down. Clerk corrected docket entry by replacing with correct PDF. (sct1) (Entered: 06/02/2011)
06/02/2011	<u>27</u>	ORDER granting <u>26</u> Motion for Extension of Time to respond, move or otherwise plead re <u>1</u> Complaint up to and including June 16, 2011. Signed by Judge S Arthur Spiegel on 6/2/11. (mr) (Entered: 06/02/2011)
06/02/2011	<u>28</u>	Set/Reset Deadlines: Per <u>27</u> Order: David A. Spector answer due 6/16/2011. (mr) (Entered: 06/02/2011)
06/06/2011	<u>28</u>	MOTION for Leave to Appear Pro Hac Vice of David H. Wollmuth (Filing fee \$ 200, receipt number 0648-3187460) by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Attachments: # <u>1</u> Exhibit Certificate of Good Standing, # <u>2</u> Text of Proposed Order) (Sherman, Adam) (Entered: 06/06/2011)
06/06/2011	<u>29</u>	MOTION for Leave to Appear Pro Hac Vice of Steven S. Fitzgerald (Filing fee \$ 200, receipt number 0648-3187494) by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Attachments: # <u>1</u> Exhibit Certificate of Good Standing, # <u>2</u> Text of Proposed Order) (Sherman, Adam) (Entered: 06/06/2011)
06/06/2011	<u>30</u>	MOTION for Leave to Appear Pro Hac Vice of Vincent T. Chang (Filing fee \$ 200, receipt number 0648-3187528) by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Attachments: # <u>1</u> Exhibit Certificate of Good Standing, # <u>2</u> Text of Proposed Order) (Sherman, Adam) (Entered: 06/06/2011)
06/06/2011	<u>31</u>	MOTION for Leave to Appear Pro Hac Vice of Alexis H. Castillo (Filing fee \$ 200, receipt number 0648-3187541) by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Attachments: # <u>1</u> Exhibit Certificate of Good Standing, # <u>2</u> Text of Proposed Order) (Sherman, Adam) (Entered: 06/06/2011)
06/07/2011	<u>32</u>	ORDER granting <u>28</u> Motion for Leave to Appear Pro Hac Vice of David H. Wollmuth; granting <u>29</u> Motion for Leave to Appear Pro Hac Vice of Steven S. Fitzgerald; granting <u>30</u> Motion for Leave to Appear Pro Hac Vice of Vincent T. Chang; granting <u>31</u> Motion for Leave to Appear

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Pro Hac Vice of Alexis H. Castillo. Signed by Judge S Arthur Spiegel on 6/7/11. (lk) (Entered: 06/07/2011)

06/08/2011	<u>İ 33</u>	Unopposed MOTION Establish Briefing Schedule <i>and Supporting Memorandum</i> by Defendants BAC Home Loans Servicing, LP, Bank of America Corp., NB Holdings Corp.. (Wayne, Richard) (Entered: 06/08/2011)
06/09/2011	<u>İ 34</u>	NOTICE of Appearance by Victoria E Powers for Defendant Stanford Kurland (Powers, Victoria) (Entered: 06/09/2011)
06/09/2011	<u>İ 35</u>	SCHEDULING ORDER granting <u>33</u> Motion to Establish Briefing Schedule and Supporting Memorandum. The following briefing schedule shall be as follows: Motion to Transfer:(1) Defendant shall file any motion to transfer this action to the Central District of California on or before 6/16/11; (2) Plaintiffs shall file any memorandum of law in opposition to the Transfer Motion on or before 6/30/11; (3) Defendants shall file their reply memoranda in support of the transfer motion on or before 7/12/11. Motion to Dismiss: (1) Defendants shall have up to and including 8/26/11 to answer, move, or otherwise respond to the Complaint; (2) Plaintiffs shall file their memorandum of law in opposition to the motion to dismiss on or before 10/14/11; (3) Defendants shall file their reply memoranda in further support of their motion to dismiss on or before 11/7/11. Signed by Judge S Arthur Spiegel on 6/9/11. (lk) Modified on 6/9/2011 (lk). (Entered: 06/09/2011)
06/10/2011	<u>İ</u>	Reset Deadlines: BAC Home Loans Servicing, LP answer due 8/26/2011; Bank of America Corp. answer due 8/26/2011; NB Holdings Corp. answer due 8/26/2011. (lk) (Entered: 06/10/2011)
06/11/2011	<u>İ 36</u>	NOTICE of Appearance by Robert Alexander Pitcairn, Jr for Defendant Angelo Mozilo (Pitcairn, Robert) (Entered: 06/11/2011)
06/11/2011	<u>İ 37</u>	MOTION for Extension of Time New date requested 8/26/2011. by Defendant Angelo Mozilo. (Pitcairn, Robert) (Entered: 06/11/2011)
06/11/2011	<u>İ 38</u>	NOTICE of Appearance by Laura A Hinegardner for Defendant Angelo Mozilo (Hinegardner, Laura) (Entered: 06/11/2011)
06/13/2011	<u>İ 39</u>	NOTICE of Appearance by W Stuart Dornette for Defendants Ranjit Kripalani, Jennifer Sandefur (Dornette, W) (Entered: 06/13/2011)
06/13/2011	<u>İ 40</u>	NOTICE of Appearance by Gregory Gordon Lockhart for Defendants Ranjit Kripalani, Jennifer Sandefur (Lockhart, Gregory) (Entered: 06/13/2011)
06/13/2011	<u>İ 41</u>	NOTICE of Appearance by Adam Charles McNeely for Defendants Ranjit Kripalani, Jennifer Sandefur (McNeely, Adam) (Entered: 06/13/2011)
06/15/2011	<u>İ 42</u>	MOTION for Leave to Appear Pro Hac Vice of David C. Codell (Filing fee \$ 200) by Defendant Stanford Kurland. (Attachments: # <u>1</u> Exhibit 1 – Certificate of Good Standing) (Powers, Victoria) (Entered: 06/15/2011)
06/15/2011	<u>İ 43</u>	MOTION for Leave to Appear Pro Hac Vice of Christopher G. Caldwell (Filing fee \$ 200) by Defendant Stanford Kurland. (Attachments: # <u>1</u> Exhibit 1 – Certificate of Good Standing) (Powers, Victoria) (Entered: 06/15/2011)
06/15/2011	<u>İ 44</u>	MOTION for Leave to Appear Pro Hac Vice of Adam J. Fletcher (Filing fee \$ 200) by Defendant Angelo Mozilo. (Pitcairn, Robert) (Entered: 06/15/2011)
06/15/2011	<u>İ 45</u>	MOTION for Leave to Appear Pro Hac Vice of David Siegel (Filing fee \$ 200) by Defendant Angelo Mozilo. (Pitcairn, Robert) (Entered: 06/15/2011)

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06/15/2011	<u>¶ 46</u>	MOTION for Leave to Appear Pro Hac Vice of Alvin Matthew Ashley (Filing fee \$ 200) by Defendant Angelo Mozilo. (Pitcairn, Robert) (Entered: 06/15/2011)
06/15/2011	<u>¶ 47</u>	MOTION for Leave to Appear Pro Hac Vice of Allison L. Libeu (Filing fee \$ 200) by Defendant Angelo Mozilo. (Pitcairn, Robert) (Entered: 06/15/2011)
06/16/2011	<u>¶ 48</u>	NOTICE of Appearance by William Patrick Coley, II for Defendants BAC Home Loans Servicing, LP, Bank of America Corp., NB Holdings Corp. (Coley, William) (Entered: 06/16/2011)
06/16/2011	<u>¶</u>	PHV fee received re Motions for Admission Pro Hac Vice of <u>44</u> Adam Fletcher, <u>45</u> David Siegel, <u>46</u> Alivn Ashley Matthew and <u>47</u> Allison L. Libeu. \$ 800.00, receipt number 100CIN012067 (lk) Modified to clarify docket text on 6/17/2011 (mtw). (Entered: 06/16/2011)
06/16/2011	<u>¶</u>	Filing fee for <u>42</u> and <u>43</u> Motions for PHV: \$ 400.00, receipt number 100CIN012072. (sct1) (Entered: 06/16/2011)
06/16/2011	<u>¶ 49</u>	MOTION to Change Venue by Defendants Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp., CWALT, Inc., CWABS, Inc., CWMBBS, Inc., CWHEQ, Inc. and N. Joshua Adler. (Attachments: # <u>1</u> Memorandum of Law in Support, # <u>2</u> Declaration of Paul Liu, # <u>3</u> Declaration of Inez Friedman-Boyce, # <u>4</u> Exhibit A, # <u>5</u> Exhibit B, # <u>6</u> Exhibit C, # <u>7</u> Exhibit D, # <u>8</u> Exhibit E, # <u>9</u> Exhibit F, # <u>10</u> Exhibit G, # <u>11</u> Exhibit H, # <u>12</u> Exhibit I, # <u>13</u> Exhibit J, # <u>14</u> Exhibit K, # <u>15</u> Exhibit L, # <u>16</u> Exhibit M-Part 1, # <u>17</u> Exhibit M-Part 2, # <u>18</u> Exhibit N, # <u>19</u> Exhibit O, # <u>20</u> Exhibit P, # <u>21</u> Exhibit Q, # <u>22</u> Exhibit R, # <u>23</u> Exhibit S) (sb1) (Entered: 06/16/2011)
06/16/2011	<u>¶ 50</u>	NOTICE by Defendant Stanford Kurland re <u>49</u> MOTION to Change Venue <i>NOTICE OF JOINDER OF DEFENDANT STANFORD KURLAND IN THE COUNTRYWIDE DEFENDANTS MOTION TO TRANSFER VENUE UNDER 28 U.S.C. § 1404(A)</i> (Powers, Victoria) (Entered: 06/16/2011)
06/16/2011	<u>¶ 51</u>	MOTION to transfer venue AND Joinder in <u>53</u> Motion to Transfer Venue of Countrywide Defendants by Defendant David A. Spector. (Lipcius, Jesse) Modified Docket Text to Clarify on 7/12/2011 (km1). (Entered: 06/16/2011)
06/16/2011	<u>¶ 52</u>	NOTICE OF JOINDER in Motion re <u>49</u> MOTION to Transfer by the Countrywide Defendants filed by Defendant David Sambol. (Amicone, Robert) Modified Docket Text to Clarify on 6/20/2011 (km1). (Entered: 06/16/2011)
06/16/2011	<u>¶ 53</u>	MOTION Transfer by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Coley, William) (Entered: 06/16/2011)
06/16/2011	<u>¶ 54</u>	Memorandum of Law in Support of <u>53</u> MOTION to Transfer by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp. (Attachment: # <u>1</u> Appendix A) (Coley, William) Modified to clarify docket text on 6/17/2011 (mtw). (Entered: 06/16/2011)
06/16/2011	<u>¶ 55</u>	NOTICE OF JOINDER in Motion re <u>53</u> Countrywide Defendants' Motion to Transfer Venue by Defendants BAC Home Loans Servicing, LP, Bank of America Corp., NB Holdings Corp. (Attachments: # <u>1</u> Exhibit 1) (Coley, William) Modified Docket Text to Clarify on 6/20/2011 (km1). (Entered: 06/16/2011)
06/16/2011	<u>¶ 56</u>	Supplemental Memorandum Supporting re <u>53</u> MOTION Transfer by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital

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Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Attachments: #1 Exhibit A, #2 Exhibit B, #3 Exhibit C, #4 Exhibit D, #5 Exhibit E, #6 Exhibit F, #7 Exhibit G, #8 Exhibit H, #9 Exhibit I, #10 Exhibit J, #11 Exhibit K, #12 Exhibit L, #13 Exhibit M Part 1, #14 Exhibit M Part 2, #15 Exhibit N, #16 Exhibit O, #17 Exhibit P, #18 Exhibit Q, #19 Exhibit R, #20 Exhibit S, #21 Affidavit Paul T. Liu, #22 Affidavit Friedman-Boyce) (Coley, William) (Entered: 06/17/2011)

06/17/2011	<u>I</u>	Notice of Correction re: <u>54</u> Memorandum of Law in Support of <u>53</u> MOTION to Transfer by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.: This document was incorrectly filed as a motion. Clerk notified counsel, corrected the docket text to show that it was a memorandum, not a motion, then terminated the motion that was created by the filing. (mtw) (Entered: 06/17/2011)
06/17/2011	<u>I</u> <u>57</u>	NOTICE of Appearance by Mark John Ruehlmann for Defendant David Sambol (Ruehlmann, Mark) (Entered: 06/17/2011)
06/17/2011	<u>I</u> <u>58</u>	NOTICE of Appearance by Pierre H Bergeron for Defendant David Sambol (Bergeron, Pierre) (Entered: 06/17/2011)
06/17/2011	<u>I</u> <u>59</u>	NOTICE of Appearance by Robert Alan Amicone, II for Defendant David Sambol (Amicone, Robert) (Entered: 06/17/2011)
06/20/2011	<u>I</u> <u>60</u>	NOTICE by Defendant David Sambol re <u>57</u> Notice of Appearance <i>Certificate of Service</i> (Ruehlmann, Mark) (Entered: 06/20/2011)
06/20/2011	<u>I</u> <u>61</u>	NOTICE by Defendant David Sambol re <u>58</u> Notice of Appearance <i>Certificate of Service</i> (Bergeron, Pierre) (Entered: 06/20/2011)
06/20/2011	<u>I</u> <u>62</u>	NOTICE by Defendant David Sambol re <u>59</u> Notice of Appearance <i>Certificate of Service</i> (Amicone, Robert) (Entered: 06/20/2011)
06/20/2011	<u>I</u> <u>63</u>	MOTION for Leave to Appear Pro Hac Vice of William J. Sushon (Filing fee \$ 200) by Defendants BAC Home Loans Servicing, LP, Bank of America Corp., NB Holdings Corp.. (Coley, William) (Entered: 06/20/2011)
06/20/2011	<u>I</u> <u>64</u>	MOTION for Leave to Appear Pro Hac Vice of Jonathan Rosenberg (Filing fee \$ 200) by Defendants BAC Home Loans Servicing, LP, Bank of America Corp., NB Holdings Corp.. (Coley, William) (Entered: 06/20/2011)
06/21/2011	<u>I</u> <u>65</u>	ORDER granting <u>37</u> Individual Defendants' Motion for Extension of Time to Answer, Move or Otherwise Respond to Plaintiffs' Complaint. Consistent with the briefing schedule set in the Court's Order of 6/9/2011 <u>35</u> , Defendants Angelo Mozilo, David Sambol, Stanford Kurland, David A Spector, Eric Sieracki, Ranjit Kripalani and Jennifer Sandefur have up to and including 8/26/2011 to move, answer or otherwise respond to the Complaint. In the event these individual Defendants move to dismiss the Complaint, Plaintiffs shall file their memorandum in opposition to the motion on or before 10/14/2011. The individual Defendants shall file their reply memoranda in further support of their motion to dismiss on or before 11/7/2011. Signed by Judge S Arthur Spiegel on 6/21/2011. (km1) (Entered: 06/21/2011)
06/21/2011	<u>I</u>	Set Deadlines/Hearings: Ranjit Kripalani answer due 8/26/2011; Stanford Kurland answer due 8/26/2011; David Sambol answer due 8/26/2011; Jennifer Sandefur answer due 8/26/2011; Eric Sieracki answer due 8/26/2011; David A. Spector answer due 8/26/2011. (km1) (Entered: 06/21/2011)
06/21/2011	<u>I</u> <u>66</u>	ORDER granting <u>42</u> Motion for Leave to Appear Pro Hac Vice of David C Codell; granting <u>43</u> Motion for Leave to Appear Pro Hac Vice of Christopher G Caldwell. Signed by Judge S Arthur

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		Spiegel on 6/21/2011. (km1) (Entered: 06/21/2011)
06/21/2011	<u>¶ 67</u>	ORDER granting <u>44</u> Motion for Leave to Appear Pro Hac Vice of Adam J Fletcher; granting <u>45</u> Motion for Leave to Appear Pro Hac Vice of David Siegel; granting <u>46</u> Motion for Leave to Appear Pro Hac Vice of Alvin Matthew Ashley; granting <u>47</u> Motion for Leave to Appear Pro Hac Vice of Allison L Libeu. Signed by Judge S Arthur Spiegel on 6/21/2011. (km1) (Entered: 06/21/2011)
06/22/2011	<u>¶</u>	Set Deadlines/Hearings: Angelo Mozilo answer due 8/26/2011. (km1) (Entered: 06/22/2011)
06/22/2011	<u>¶</u>	PHV (Jonathan Rosenberg and William Sushon) Filing fee: \$ 400.00, receipt number 100CIN012108 (lk) (Entered: 06/22/2011)
06/23/2011	<u>¶ 68</u>	ORDER granting <u>63</u> Motion for Leave to Appear Pro Hac Vice of William J. Sushon; granting <u>64</u> Motion for Leave to Appear Pro Hac Vice of Jonathan Rosenberg. Signed by Judge S Arthur Spiegel on 6/23/2011. (km1) (Entered: 06/23/2011)
06/29/2011	<u>¶ 69</u>	NOTICE by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp. of <i>Substitution of Trial Counsel</i> (Faruki, Charles) (Entered: 06/29/2011)
06/29/2011	<u>¶ 70</u>	MOTION for Leave to Appear Pro Hac Vice of Brian E. Pastuszewski (Filing fee \$ 200, receipt number 0648-3224239) by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Faruki, Charles) (Entered: 06/29/2011)
06/29/2011	<u>¶ 71</u>	MOTION for Leave to Appear Pro Hac Vice of Inez H. Friedman-Boyce (Filing fee \$ 200, receipt number 0648-3224306) by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Faruki, Charles) (Entered: 06/29/2011)
06/29/2011	<u>¶ 72</u>	MOTION for Leave to Appear Pro Hac Vice of Brian C. Devine (Filing fee \$ 200, receipt number 0648-3224330) by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Faruki, Charles) (Entered: 06/29/2011)
06/29/2011	<u>¶ 73</u>	NOTICE OF WITHDRAWAL OF DOCUMENT by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp. [Doc. No. 49] (Faruki, Charles) (Entered: 06/29/2011)
06/30/2011	<u>¶ 74</u>	ORDER granting <u>70</u> Motion for Leave to Appear Pro Hac Vice of Brian E Pastuszewski; granting <u>71</u> Motion for Leave to Appear Pro Hac Vice of Inez H Friedman-Boyce; granting <u>72</u> Motion for Leave to Appear Pro Hac Vice of Brian C Devine. Signed by Judge S Arthur Spiegel on 6/30/2011. (km1) (Entered: 06/30/2011)
06/30/2011	<u>¶ 75</u>	RESPONSE in Opposition re <u>53 54 56</u> MOTION to Transfer Venue of Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp., filed by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western-Southern Life Assurance Company. (Fitzgerald, Steven) Modified Docket Text to Clarify on 7/1/2011 (km1). (Entered: 06/30/2011)

		06/30/2011)
06/30/2011	<u>İ 76</u>	AFFIDAVIT in Opposition re <u>53 54 56</u> MOTION to Transfer Venue of Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp., filed by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western–Southern Life Assurance Company. (Attachments: # <u>1</u> Exhibit Exhibit 1, # <u>2</u> Exhibit Exhibit 2, # <u>3</u> Exhibit Exhibit 3, # <u>4</u> Exhibit Exhibit 4, # <u>5</u> Exhibit Exhibit 5, # <u>6</u> Exhibit Exhibit 6, # <u>7</u> Exhibit Exhibit 7, # <u>8</u> Exhibit Exhibit 8, # <u>9</u> Exhibit Exhibit 9) (Fitzgerald, Steven) Modified Docket Text to Clarify on 7/1/2011 (km1). (Entered: 06/30/2011)
06/30/2011	<u>İ 77</u>	AFFIDAVIT in Opposition re <u>53 54 56</u> MOTION to Transfer Venue of Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp., filed by Plaintiffs Columbus Life Insurance Company, Fort Washington Investment Advisors, Inc., Integrity Life Insurance Company, National Integrity Life Insurance Company, Western and Southern Life Insurance Company, Western–Southern Life Assurance Company. (Fitzgerald, Steven) Modified to Clarify Docket Text on 7/1/2011 (km1). (Entered: 06/30/2011)
07/01/2011	<u>İ 78</u>	NOTICE OF AMENDMENT by Defendant Stanford Kurland re <u>50</u> Notice of Joinder to <u>53</u> MOTION to Transfer Venue of Countrywide Defendants (Powers, Victoria) Modified Docket Text to Clarify on 7/1/2011 (km1). (Entered: 07/01/2011)
07/01/2011	<u>İ 79</u>	MOTION for Leave to Appear Pro Hac Vice of Lloyd Winawer (Filing fee \$ 200, receipt number 0648–3227774) by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Attachments: # <u>1</u> Exhibit A) (Faruki, Charles) (Entered: 07/01/2011)
07/05/2011	<u>İ 80</u>	ORDER re <u>79</u> MOTION for Leave to Appear Pro Hac Vice of Lloyd Winawer. Pursuant to Local Rule 83.3(e), trial counsel is Ordered to provide a certificate of good standing of Attorney Lloyd Winawer to the Court which meets the requirements of the local rule within 20 days of the date of this Order. Failure to do so will result in the Court denying the motion for admission pro hac vice without prejudice to refile. Response due by 7/25/2011. Signed by Judge S Arthur Spiegel on 7/5/2011. (km1) (Entered: 07/05/2011)
07/12/2011	<u>İ 81</u>	MOTION for Leave to Appear Pro Hac Vice of Frank M. Scaduto (Filing fee \$ 200) by Defendant David Sambol. (Bergeron, Pierre) (Entered: 07/12/2011)
07/12/2011	<u>İ 82</u>	MOTION for Leave to Appear Pro Hac Vice of Michael D. Torpey (Filing fee \$ 200) by Defendant David Sambol. (Bergeron, Pierre) (Entered: 07/12/2011)
07/12/2011	<u>İ 83</u>	MOTION for Leave to Appear Pro Hac Vice of Michael C. Tu (Filing fee \$ 200) by Defendant David Sambol. (Bergeron, Pierre) (Entered: 07/12/2011)
07/12/2011	<u>İ 84</u>	NOTICE OF AMENDMENT by Defendant David A. Spector re <u>51</u> Notice of Joinder to <u>53</u> MOTION to Transfer Venue of Countrywide Defendants. (Lipcius, Jesse) Modified Docket Text to Clarify on 7/12/2011 (km1). (Entered: 07/12/2011)
07/12/2011	<u>İ</u>	PHV (Michael D. Torpey, Michael C. Tu, Frank M. Scaduto) Filing fee: \$ 600.00, receipt number 100CIN012309 (lk) (Entered: 07/12/2011)
07/12/2011	<u>İ 85</u>	MOTION for Leave to Appear Pro Hac Vice of Leiv H. Blad, Jr. (Filing fee \$ 200) by Defendant David A. Spector. (Attachments: # <u>1</u> Exhibit A) (Lipcius, Jesse) (Entered: 07/12/2011)

		07/12/2011)
07/12/2011	<u>İ 86</u>	REPLY to Response to Motion re <u>53</u> MOTION Transfer (<i>Reply Memorandum of Law in Support of Countrywide Defendants' Motion to Transfer Venue to the United States District Court for the Central District of California Where Identical Litigation is Currently Pending</i>) filed by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Attachments: # <u>1</u> Supplemental Declaration of Inez H. Friedman-Boyce, # <u>2</u> Exhibit A, # <u>3</u> Exhibit B, # <u>4</u> Exhibit C, # <u>5</u> Exhibit D, # <u>6</u> Exhibit E) (Pastuszanski, Brian) (Entered: 07/12/2011)
07/12/2011	<u>İ 87</u>	NOTICE OF JOINDER by Defendant David A. Spector re <u>86</u> Reply to Response to Motion of Countrywide Defendants re <u>53</u> Motion to Transfer Venue. (Lipcius, Jesse) Modified Docket Text to Clarify on 7/13/2011 (km1). (Entered: 07/12/2011)
07/13/2011	<u>İ 88</u>	NOTICE of Hearing on Motion <u>53</u> MOTION to Transfer Venue of Countrywide Defendants: Oral Arguments set for 8/10/2011 10:30 AM in Courtroom 842 before Judge S Arthur Spiegel. (km1) (Entered: 07/13/2011)
07/13/2011	<u>İ 89</u>	ORDER granting <u>81</u> Motion for Leave to Appear Pro Hac Vice of Frank M Scaduto; granting <u>82</u> Motion for Leave to Appear Pro Hac Vice of Michael D Torpey; granting <u>83</u> Motion for Leave to Appear Pro Hac Vice of Michael C Tu. Signed by Judge S Arthur Spiegel on 7/13/2011. (km1) (Entered: 07/13/2011)
07/18/2011	<u>İ 90</u>	NOTICE by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp. re <u>80</u> Order, Set Deadlines,, of <i>Filing of Certificate of Good Standing of Lloyd Winawer</i> (Attachments: # <u>1</u> Exhibit A) (Faruki, Charles) (Entered: 07/18/2011)
07/18/2011	<u>İ</u>	PHV (Leiv Blad, Jr.) Filing fee: \$ 200.00, receipt number 100CIN012363 (lk1) (Entered: 07/18/2011)
07/19/2011	<u>İ 91</u>	MOTION for Leave to Appear Pro Hac Vice of Caroline H. Bullerjahn (Filing fee \$ 200, receipt number 0648-3251232) by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp.. (Attachments: # <u>1</u> Exhibit A) (Faruki, Charles) (Entered: 07/19/2011)
07/19/2011	<u>İ 92</u>	ORDER granting <u>85</u> Motion for Leave to Appear Pro Hac Vice of Leiv H Blad Jr. Signed by Judge S Arthur Spiegel on 7/19/2011. (km1) (Entered: 07/19/2011)
07/19/2011	<u>İ 93</u>	ORDER granting <u>79</u> Motion for Leave to Appear Pro Hac Vice of Lloyd Winawer. Signed by Judge S Arthur Spiegel on 7/19/2011. (km1) (Entered: 07/19/2011)
07/19/2011	<u>İ 94</u>	ORDER granting <u>91</u> Motion for Leave to Appear Pro Hac Vice of Caroline H Bullerjahn. Signed by Judge S Arthur Spiegel on 7/19/2011. (km1) (Entered: 07/19/2011)
07/26/2011	<u>İ 95</u>	MOTION for Leave to Appear Pro Hac Vice of Joshua G. Hamilton, William F. Sullivan and Jenifer Q. Doan (Filing fee \$ 600, receipt number 0648-3261835) by Defendants Ranjit Kripalani, Jennifer Sandefur. (Dornette, W) (Entered: 07/26/2011)
08/02/2011	<u>İ 96</u>	ORDER granting <u>95</u> Motion for Leave to Appear Pro Hac Vice of Joshua G. Hamilton, William F. Sullivan and Jenifer Q. Doan. Signed by Judge S Arthur Spiegel on 8/2/2011. (km1) (Entered: 08/02/2011)
08/03/2011	<u>İ</u>	Motions Not Referred to Magistrate Judge: <u>53</u> MOTION Transfer, <u>51</u> MOTION transfer venue. (art) (Entered: 08/03/2011)

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08/08/2011	<u>197</u>	MOTION for Leave to Appear Pro Hac Vice of Asher L. Rivner (Filing fee \$ 200) by Defendants BAC Home Loans Servicing, LP, Bank of America Corp., NB Holdings Corp.. (Coley, William) (Entered: 08/08/2011)
08/10/2011	<u>1</u>	PHV (Asher L. Rivner) Filing fee: \$ 200.00, receipt number 100CIN012544 (lk) (Entered: 08/10/2011)
08/10/2011	<u>1</u>	Minute Entry for proceedings held before Judge S Arthur Spiegel: Motion Hearing held on 8/10/2011 re <u>53</u> MOTION to Transfer Venue. Counsel for parties present. Arguments heard. Matters taken under advisement; written Opinion to follow. Upon request of Defendants, the Court Orders in open Court that the answer date for Defendants is hereby extended an additional two weeks to 9/9/2011. (Court Reporter Luke Lavin (Official).) (km1) Modified to correct docket text on 8/10/2011 (km1). (Entered: 08/10/2011)
08/10/2011	<u>1</u>	Notice of Correction re: Minute Entry for Motion Hearing held 8/10/2011. Docket text modified to add information on extended answer date to 9/9/2011 as Ordered by the Court during the hearing. (km1) (Entered: 08/10/2011)
08/10/2011	<u>198</u>	ORDER granting <u>97</u> Motion for Leave to Appear Pro Hac Vice of Asher L Rivner. Signed by Judge S Arthur Spiegel on 8/10/2011. (km1) (Entered: 08/10/2011)
08/16/2011	<u>199</u>	NOTICE by Defendants N. Joshua Adler, CWABS, Inc., CWALT, Inc., CWHEQ, Inc., CWMBBS, Inc., Countrywide Capital Markets LLC., Countrywide Financial Corp., Countrywide Home Loans, Inc., Countrywide Securities Corp. of <i>Transfer Order of the Judicial Panel on Multidistrict Litigation</i> (Attachments: # <u>1</u> Exhibit A) (Faruki, Charles) (Entered: 08/16/2011)
08/17/2011	<u>100</u>	Order of Transfer from MDL Panel. (lk1) (Entered: 08/17/2011)
08/25/2011	<u>101</u>	<p>Transcript of Proceedings (Motion Hearing) held on 8/10/2011, before Judge S Arthur Spiegel. Court Reporter/Transcriber Luke Lavin (Official), Telephone number 513-564-7675 (no. pgs: 55). Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER.</p> <p>NOTICE RE: REDACTION OF TRANSCRIPTS: Within 5 business days of this filing, each party shall inform the Court, by filing a Notice of Redaction, of the party's intent to redact personal data identifiers from the electronic transcript of the court proceeding. The policy is located on our website at www.ohsd.uscourts.gov (Forms – Electronic Availability of Transcripts). Please read this policy carefully.</p> <p>For a complete copy of a transcript, please contact the Court Reporter or the Clerk's Office. Redaction Request due 9/15/2011. Redacted Transcript Deadline set for 9/26/2011. Release of Transcript Restriction set for 11/23/2011. (km1) (Entered: 08/25/2011)</p>
08/30/2011	<u>102</u>	OPINION AND ORDER denying <u>53</u> Motion to Transfer Venue Under 28 USC Section 1404(a) to the U.S. District Court for the Central District of California. Signed by Judge S Arthur Spiegel on 8/30/2011. (km1) (Entered: 08/30/2011)
09/07/2011	<u>103</u>	Letter from Central District of California–Western Division re Conditional Transfer Order re MDL Panel No. 2265. (Attachments: # <u>1</u> Conditional Transfer Order) (lk) (Entered: 09/07/2011)
09/07/2011	<u>1</u>	Case transferred to Central District of California–Western Division. Case file and docket sheet sent Electronically. (lk) Modified on 9/7/2011 (lk). (Entered: 09/07/2011)